IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Curt V. Avallone

Application No.:

09/828,444

Group Art Unit: 3621

Filed:

04-06-2001

Examiner: F. Backer

For:

METHOD AND SYSTEMS FOR PROVIDING PERSONALIZED

INFORMATION TO USERS IN A COMMERCIAL ESTABLISHMENT GROUP 3600

Assistant Commissioner for Patents Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

SIR:

The following are included with this Information Disclosure Statement:

- [X]A.PTO/SB/08
- []B. CERTIFICATION

(37 C.F.R. 1.97(c)-before final Office Action or allowance)

[] **C**. \$180.00 FEE PAYMENT

(37 C.F.R. 1.97(c)-before final Office Action or allowance)

\$130.00 PETITION, CERTIFICATION & PETITION FEE [] **D**. **PAYMENT**

(37 C.F.R.1.97(d)-before issue fee payment)

The following are submitted in the above-identified application in compliance with 37 CFR 1.98:

- [X] I. A copy of each listed U.S. Patent [1.98(a)(2)(i)].
- [] II. A copy of each listed foreign patent and/or published foreign patent application. For each listed foreign patent and/or published foreign patent application [1.98(a)(2)]:
- [] the English language version or an English translation if not published in English, if available (see PTO-1449)[1.98(a)(3)]; and/or
- U.S. counterpart patents or English language equivalents of the (b) foreign patents or published foreign patent applications (see PTO-1449); and/or

- (c) a concise explanation of the relevance of the listed patents, publications or other information (see PTO-1449, foreign search report or other, attached), as it is presently understood by the person signing this disclosure, since no English translation is currently available[1.98(a)(3)].
- [] III. A copy of each listed publication, other than published foreign applications. For each listed publication [1.98(a)(2)]:
 - (a) an English translation, if available, when the original publication is not in the English language (see PTO-1449)[1.98(a)(3)];
 - [] (b) a concise explanation of the listed publications (see PTO-1449), as it is presently understood by the person signing this disclosure, since no English translation is currently available [1.98(a)(3)].
- [] IV. For any other listed information, a copy of the information or that portion which caused it to be listed (see PTO-1449)[1.98(a)(2)(iii)].
- [] V. Each listed U.S. Patent, Publication or other information that was previously cited by or submitted to the Office in a prior application, which application is relied upon for an earlier filing date under 35 U.S.C.§ 120 [1.98(d)].

This information is submitted in the above-identified application in compliance with 37 C.F.R.1.97 because:

- [X] 1. This information disclosure is submitted within three months of the filing date of the application, or before the mailing date of the first office action on the merits of the application [1.97(b)].
- This information disclosure is submitted before the mailing date of a final action in this application under 1.113[1.97(c)] and the requisite statement or fee is provided (see items 6 or 7 below).
- [] 3. This information disclosure is submitted before the mailing date of a notice of allowance under 1.311 [1.97(c)] and the requisite statement or fee is provided (see items 6 or 7 below).
- This information disclosure is submitted after the mailing date of a final action under 1.113 [1.97(d)] and the requisite statement and fee is provided (see items 6 and 8 below).
- [] 5. This information disclosure is submitted after the mailing date of a notice of allowance under 1.311, but before the payment of the issue fee [1.97(d)] and the requisite statement and fee is provided (see items 6 and 8 below).

[]6.		This information disclosure is submitted with the statement required for items 2, 3, 4 or 5 above [1.97(c), (d), (e)]:
[]	I,	, hereby state the following:
[]		Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
[]		No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this information disclosure was known to any individual designated in 1.56(c) more than three months prior to the filing of this information disclosure statement.
[]7.		This information disclosure statement is submitted with the fee of \$240 set forth in 1.17(p) [see items 2 and 3 above]. Please charge this fee and any other fees associated with this application to Deposit Account No (Please note, no fee is required for items 2 and 3 above if the Item 6 statement is provided).
[]8.		In addition to the above statement, this information disclosure is submitted with a petition for consideration and petition fee of \$130 required by 1.17(i)(1) (see items 4 and 5 above). Please charge this fee and any other fees associated with this application to Deposit Account No. 50-1059.
		Respectfully submitted,

Brian T. Sattizahn Reg. No. 46,401 der the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.



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Complete if Known				
Application Number	09/828,444			
Filing Date	04-06-2001			
First Named Inventor	Curt V. Avallone			
Group Art Unit	3621			
Examiner Name	F. Backer			
Attorney Docket Number	20807-0003			

			U.S. PATENT	OCUMENTS	
Examiner	Cite No.¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of	Pages, Columns, Lines, Where Relevant
Initials *		Number - Kind Code ² (if known)		Cited Document	Passages or Relevant Figures Appear
/PE/	AA	US- 2001/0014868 A1	08-16-2001	Herz et al.	11-11-
. 8	AB	US- 2002/0007304 A1	01-17-2002	Kasajima et al.	<i>k</i>
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V	AE	US- 2002/0087405 A1	07-04-2002	Barnett et al.	
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	FOREIGN PATENT DOCUMENTS					
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Examiner Signature	/Pierre Elisca/	Date Considered	04/15/2008

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¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at <u>www.uspto.gov</u> or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

PTO/SB/21 (08-00) Approved for use through 10/31/2002. OMB 0651-0031 ase type a plus sign (+) inside this box -> + SEP 3 0 2002 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE nder the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 09/828,444 TRANSMITTAL Filing Date 04-06-2001 **FORM** First Named Inventor Curt V. Avallone (to be used for all correspondence after initial filing) **Group Art Unit** 3621 F. Backer **Examiner Name** Total Number of Pages in This Submission Attorney Docket Number 20807-0003 ENCLOSURES (check all that apply) Assignment Papers After Allowance Communication to Fee Transmittal Form (for an Application) Group Appeal Communication to Board of Fee Attached ☐ Drawing(s) Appeals and Interferences Appeal Communication to Group Amendment / Response Licensing-related Papers (Appeal Notice, Brief, Reply Brief) After Final ☐ Petition Proprietary Information Petition to Convert to a Affidavits/declaration(s) Status Letter Provisional Application Power of Attorney, Revocation Other Enclosure(s) Change of Correspondence Address (please identify below): PTO/SB/08 Terminal Disclaimer Express Abandonment Request Five (5) Reference Copies Request for Refund Copy of International Search Report Information Disclosure Statement **Certificate of Mailing** CD, Number of CD(s) Return Acknowledgment **Postcard** Certified Copy of Priority GROLLD SCA Remarks Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR

Response to Missing
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